

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Kazuo KOHNO : **Attn: APPLICATION BRANCH**
Serial No. NEW : Attorney Docket No. 2004_0022A
Filed January 16, 2004 :
WOUND-ROTOR TRANSFORMER AND
POWER SOURCE DEVICE USING SAID
WOUND-ROTOR TRANSFORMER

SUBMISSION OF SMALL ENTITY DECLARATION


Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

There is submitted herewith a Declaration entitling the present application to small entity status.

Respectfully submitted,

Kazuo KOHNO

By 

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January 16, 2004

DECLARATION SUPPORTING CLAIM FOR SMALL ENTITY STATUS

The undersigned hereby declare(s) that this statement is made to support a claim by the below identified entity for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, with regard to an invention entitled Wound-rotor transformer and power source device using said wound-rotor transformer invented by Kazuo Kohno and described in

- ☒ the specification filed herewith.
☐ application Serial No. _____, filed _____
☐ Patent No. , issued .

☒ a. I am/we are the inventor(s) of the above-identified application.

☐ b. I/we would qualify as (an) independent inventor(s) as defined in 37 CFR 1.9(c) if I/we had made the above-identified application, and rights under contract law with regard to the above-identified invention have been conveyed to and remain with me/us.

☐ c. I am ☐ the owner

☐ an official of the below-identified small business concern; rights under contract law with regard to the above-identified invention have been conveyed to and remain with the below-identified small business concern; and this concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under sections 41(a) and (b) of Title 35, United States Codes, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons, said number being determined and said affiliates being defined in 13 CFR 121.3-18.

No rights in the invention have been assigned, granted, conveyed or licensed or further assigned, granted, conveyed or licensed, and there is no obligation under contract or law to assign, grant, convey or license, or further assign, grant, convey or license such rights to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which any rights in the invention have been assigned, granted, conveyed, or licensed or further assigned, granted, conveyed, or licensed or further assign, grant, convey or license, or as to where there is an obligation under contract or law to assign, grant, convey, or license such rights is listed below:

- ☐ no such person, concern, or organization
☐ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME Kazuo Kohno

ADDRESS 332-30 Shitengi, Ohami Shirsato-machi, Sanbu-gun, Chiba-pref. Japan
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I/we acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b).)

I/we further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that

such willful false statements may jeopardize the validity of the application or any patent issuing thereon, or any patent to which this declaration is directed.

Kenneth F. Kuo

December 20, 2003

NAME SIGNATURE DATE

NAME SIGNATURE DATE

NAME OF SMALL BUSINESS CONCERN ADDRESS

NAME SIGNATURE DATE

TITLE